#### **VOTE SUMMARY REPORT**

#### REPORTING PERIOD: 01/01/2023 to 12/31/2023

#### **Voting Statistics**

	Total	Percent
Votable Meetings	59	
Meetings Voted	52	88.14%
Meetings with One or More Votes Against Management	23	38.98%
Votable Ballots	59	
Ballots Voted	52	88.14%

Note: A meeting is votable when one or more ballots are eligible to vote at the meeting, and differences in votable meetings and ballots occurs when multiple ballots are available to vote for the same meeting.

	Manageme	Management Proposals		Proposals	All Proposals	
	Total	Percent	Total	Percent	Total	Percent
Votable Proposals	620		10		630	
Proposals Voted	525	84.68%	10	100.00%	535	84.92%
FOR Votes	457	73.71%	7	70.00%	464	73.65%
AGAINST Votes	60	9.68%	3	30.00%	63	10.00%
ABSTAIN Votes	6	0.97%	0	0.00%	6	0.95%
WITHHOLD Votes	0	0.00%	0	0.00%	0	0.00%
Votes WITH Management	459	74.03%	9	90.00%	468	74.29%
Votes AGAINST Management	66	10.65%	1	10.00%	67	10.63%

Note: Instructions of Do Not Vote are not considered voted; Frequency on Pay votes of 1, 2 or 3 Years are only reflected statistically, where applicable, but present in the underlying detail; and in cases of different votes submitted across ballots for a given meeting, votes cast are distinctly counted by type per proposal where total votes submitted may be higher than unique proposals voted.

#### **Proposal Statistics**

	Vota Propo		Propo Vot		Manag Propo		Share Prop	holder osals	Votes <i>l</i> Manag	
	Total	Percent	Total	Percent	Total	Percent	Total	Percent	Total	Percent
Audit Related	35	5.56%	32	5.08%	35	5.56%	0	0.00%	3	0.48%
Capitalization	79	12.54%	76	12.06%	79	12.54%	0	0.00%	11	1.75%
Company Articles	63	10.00%	31	4.92%	62	9.84%	1	0.16%	12	1.90%
Compensation	80	12.70%	75	11.90%	75	11.90%	5	0.79%	15	2.38%
Corporate Governance	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Director Election	140	22.22%	120	19.05%	140	22.22%	0	0.00%	5	0.79%
Director Related	49	7.78%	41	6.51%	49	7.78%	0	0.00%	5	0.79%
E&S Blended	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Environmental	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Miscellaneous	21	3.33%	15	2.38%	17	2.70%	4	0.63%	3	0.48%
Mutual Funds	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%
No Research	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Non-Routine Business	10	1.59%	9	1.43%	10	1.59%	0	0.00%	2	0.32%
Routine Business	133	21.11%	117	18.57%	133	21.11%	0	0.00%	8	1.27%
Social	3	0.48%	2	0.32%	3	0.48%	0	0.00%	0	0.00%
Strategic Transactions	16	2.54%	16	2.54%	16	2.54%	0	0.00%	3	0.48%
Takeover Related	1	0.16%	1	0.16%	1	0.16%	0	0.00%	0	0.00%
Total	630	100.00%	535	84.92%	620	98.41%	10	1.59%	67	10.63%

#### **AIA Group Limited**

Meeting Date: 05/18/2023Country: Hong KongRecord Date: 05/12/2023Meeting Type: Annual

Ticker: 1299

**Primary ISIN:** HK0000069689

# **AIA Group Limited**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3	Elect Edmund Sze-Wing Tse as Director	Mgmt	For	For
4	Elect Jack Chak-Kwong So as Director	Mgmt	For	For
5	Elect Lawrence Juen-Yee Lau as Director	Mgmt	For	For
6	Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7A	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For
7B	Authorize Repurchase of Issued Share Capital	Mgmt	For	For
8	Approve Increase in Rate of Directors' Fees	Mgmt	For	For
9	Amend Share Option Scheme	Mgmt	For	Against
	Blended Rationale: [RU-M0500-003] Plan do	pes not provide perform	nance criteria attached to sha	are grant.
10	Amend Restricted Share Unit Scheme	Mgmt	For	For
11	Amend Employee Share Purchase Plan	Mgmt	For	For
12	Amend Agency Share Purchase Plan	Mgmt	For	For

# **Alibaba Group Holding Limited**

**Meeting Date:** 09/28/2023 **Record Date:** 08/15/2023

**Country:** Cayman Islands **Meeting Type:** Annual

Ticker: 9988

Primary ISIN: KYG017191142

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction	
1.1	Elect Director Eddie Yongming Wu	Mgmt	For	For	
1.2	Elect Director Maggie Wei Wu	Mgmt	For	For	
1.3	Elect Director Kabir Misra	Mgmt	For	For	
	Blended Rationale: [SD-M0201-001] The Chairman of the board is not independent, and a lead independent director has not been identified.				
2	Ratify PricewaterhouseCoopers Zhong Tian LLP and PricewaterhouseCoopers as the U.S. and Hong Kong Auditors of the Company	Mgmt	For	For	

### **Alibaba Group Holding Limited**

**Meeting Date:** 09/28/2023 **Record Date:** 08/15/2023

**Country:** Cayman Islands **Meeting Type:** Annual

Ticker: 9988

Primary ISIN: KYG017191142

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction		
1.1	Elect Director Eddie Yongming Wu	Mgmt	For	For		
1.2	Elect Director Maggie Wei Wu	Mgmt	For	For		
1.3	Elect Director Kabir Misra	Mgmt	For	For		
	Blended Rationale: [SD-M0201-001] The Chairman of the board is not independent, and a lead independent director has not been identified.					
2	Ratify PricewaterhouseCoopers Zhong Tian LLP and PricewaterhouseCoopers as the U.S. and Hong Kong Auditors of the Company	Mgmt	For	For		

#### Asymchem Laboratories (Tianjin) Co., Ltd.

**Meeting Date:** 10/18/2023 **Record Date:** 10/12/2023

Country: China

Meeting Type: Extraordinary

Shareholders

Ticker: 6821

Primary ISIN: CNE100004Z06

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF A SHARES	Mgmt		
1	Approve Repurchase and Cancellation of Part of the Restricted A Shares under the 2020 Restricted A Share Incentive Scheme as Proposed by the Board on July 17, 2023	Mgmt	For	For
2	Approve Repurchase and Cancellation of Part of the Restricted A Shares under the 2021 Restricted A Share Incentive Scheme as Proposed by the Board on July 17, 2023	Mgmt	For	For
3	Approve Repurchase and Cancellation of Part of the Restricted A Shares under the 2020 Restricted A Share Incentive Scheme as Proposed by the Board on September 13, 2023	Mgmt	For	For
4	Approve Repurchase and Cancellation of Part of the Restricted A Shares Granted under the 2021 Restricted A Share Incentive Scheme as Proposed by the Board on September 13, 2023	Mgmt	For	For
5	Amend Articles of Association	Mgmt	For	Against

Blended Rationale: [SF-M0100-001] On balance, we find that shareholders' rights are likely to be diminished in material ways under the new Charter/Articles/Bylaws.

### **Asymchem Laboratories (Tianjin) Co., Ltd.**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Elect Sun Xuejiao as Director	Mgmt	For	For

#### Asymchem Laboratories (Tianjin) Co., Ltd.

**Meeting Date:** 10/18/2023 **Record Date:** 10/12/2023

Country: China
Meeting Type: Special

Ticker: 6821

Primary ISIN: CNE100004Z06

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF A SHARES	Mgmt		
1	Approve Repurchase and Cancellation of Part of the Restricted A Shares under the 2020 Restricted A Share Incentive Scheme as Proposed by the Board on July 17, 2023	Mgmt	For	For
2	Approve Repurchase and Cancellation of Part of the Restricted A Shares under the 2021 Restricted A Share Incentive Scheme as Proposed by the Board on July 17, 2023	Mgmt	For	For
3	Approve Repurchase and Cancellation of Part of the Restricted A Shares under the 2020 Restricted A Share Incentive Scheme as Proposed by the Board on September 13, 2023	Mgmt	For	For
4	Approve Repurchase and Cancellation of Part of the Restricted A Shares Granted under the 2021 Restricted A Share Incentive Scheme as Proposed by the Board on September 13, 2023	Mgmt	For	For
5	Amend Articles of Association	Mgmt	For	Against

under the new Charter/Articles/Bylaws.

#### Baidu, Inc.

**Meeting Date:** 06/27/2023 **Record Date:** 05/23/2023

**Country:** Cayman Islands **Meeting Type:** Annual

Ticker: 9888

Primary ISIN: KYG070341048

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Memorandum of Association and Articles of Association	Mgmt	For	For

### Baidu, Inc.

**Meeting Date:** 06/27/2023 **Record Date:** 05/23/2023

**Country:** Cayman Islands **Meeting Type:** Annual

Ticker: 9888

Primary ISIN: KYG070341048

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt		
1	Amend Memorandum of Association and Articles of Association	Mgmt	For	For

## **China Mengniu Dairy Company Limited**

**Meeting Date:** 06/06/2023 **Record Date:** 05/31/2023

**Country:** Cayman Islands **Meeting Type:** Annual

Ticker: 2319

Primary ISIN: KYG210961051

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3a	Elect Lu Minfang as Director	Mgmt	For	For
3b	Elect Simon Dominic Stevens as Director	Mgmt	For	For
3c	Elect Ge Jun as Director	Mgmt	For	For
4	Approve KPMG as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Authorize Repurchase of Issued Share Capital	Mgmt	For	For
6	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
	Blended Rationale: [RU-M0300-003] This authority excessive discretion.	y is not in shareholders' L	best economic interests as it wo	ould give the board
7	Amend Existing Memorandum and Articles of Association Relating to Core Standards	Mgmt	For	For
8	Amend Existing Memorandum and Articles of Association (Other Amendments)	Mgmt	For	For
9	Adopt New Memorandum and Articles of Association	Mgmt	For	For

## China Merchants Bank Co., Ltd.

**Meeting Date:** 06/27/2023 **Record Date:** 06/16/2023

**Country:** China **Meeting Type:** Annual Ticker: 3968

Primary ISIN: CNE1000002M1

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	AGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Work Report of the Board of Directors	Mgmt	For	For
2	Approve Work Report of the Board of Supervisors	Mgmt	For	For
3	Approve Annual Report	Mgmt	For	For
4	Approve Audited Financial Statements	Mgmt	For	For
5	Approve Profit Appropriation Plan	Mgmt	For	For
6	Approve Deloitte Touche Tohmatsu Certified Public Accountants LLP (Special General Partnership) as Domestic Auditor and Deloitte Touche Tohmatsu as Overseas Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For
	Blended Rationale: .			
7	Approve Related Party Transaction Report	Mgmt	For	For
8	Approve Capital Management Plan	Mgmt	For	For
	ELECT DIRECTORS	Mgmt		
9	Elect Huang Jian as Director	Mgmt	For	For
10	Elect Zhu Jiangtao as Director	Mgmt	For	For

## China Merchants Bank Co., Ltd.

**Meeting Date:** 06/27/2023 **Record Date:** 06/19/2023

**Country:** China **Meeting Type:** Annual Ticker: 3968

Primary ISIN: CNE1000002M1

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	AGM BALLOT FOR HOLDERS OF A SHARES	Mgmt		
1	Approve Work Report of the Board of Directors	Mgmt	For	For
2	Approve Work Report of the Board of Supervisors	Mgmt	For	For
3	Approve Annual Report	Mgmt	For	For

# China Merchants Bank Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Approve Audited Financial Statements	Mgmt	For	For
5	Approve Profit Appropriation Plan	Mgmt	For	For
6	Approve Deloitte Touche Tohmatsu Certified Public Accountants LLP (Special General Partnership) as Domestic Auditor and Deloitte Touche Tohmatsu as Overseas Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For
	Blended Rationale: [HK-M0100-002] Without exortine of the external audit process.	xplanation, non-audit fees e	exceed audit fees which may imp	air the independence
7	Approve Related Party Transaction Report	Mgmt	For	For
8	Approve Capital Management Plan	Mgmt	For	For
	ELECT DIRECTORS	Mgmt		
9	Elect Huang Jian as Director	Mgmt	For	For
10	Elect Zhu Jiangtao as Director	Mgmt	For	For

### **CITIC Securities Company Limited**

**Meeting Date:** 06/28/2023 **Record Date:** 06/21/2023

**Country:** China **Meeting Type:** Annual Ticker: 6030

Primary ISIN: CNE1000016V2

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	AGM BALLOT FOR HOLDERS OF A SHARES	Mgmt		
1	Approve Work Report of the Board	Mgmt	For	For
2	Approve Work Report of the Supervisory Committee	Mgmt	For	For
3	Approve Annual Report	Mgmt	For	For
4	Approve Profit Distribution Plan	Mgmt	For	For
5	Approve KPMG Huazhen LLP as Domestic Accounting Firm and KPMG as International Accounting Firm and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Estimated Investment Amount for the Proprietary Business	Mgmt	For	For
7	Approve Financing Guarantee Plan	Mgmt	For	For
8	Approve Distributed Total Remuneration of Directors and Supervisors	Mgmt	For	For

# **CITIC Securities Company Limited**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	RESOLUTIONS IN RELATION TO THE ESTIMATION OF RELATED PARTY/CONTINUING CONNECTED TRANSACTIONS CONTEMPLATED IN THE ORDINARY COURSE OF BUSINESS	Mgmt		
9.01	Approve Contemplated Related Party/Connected Transactions Between the Company and Its Subsidiaries and the CITIC Group and Its Subsidiaries and Associates	Mgmt	For	For
9.02	Approve Contemplated Related Party Transactions Between the Company and Its Subsidiaries and Companies in which the Directors, Supervisors and Senior Management of the Company Hold Positions as Directors or Senior Management	Mgmt	For	For
9.03	Approve Contemplated Related Party Transactions Between the Company and Its Subsidiaries and Companies Holding More Than 5% of the Shares in the Company and Their Parties Acting in Concert	Mgmt	For	For

# **Cognizant Technology Solutions Corporation**

**Meeting Date:** 06/06/2023 **Record Date:** 04/10/2023

Country: USA

Meeting Type: Annual

Ticker: CTSH

**Primary ISIN:** US1924461023

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Zein Abdalla	Mgmt	For	For
1b	Elect Director Vinita Bali	Mgmt	For	For
1c	Elect Director Eric Branderiz	Mgmt	For	For
1d	Elect Director Archana Deskus	Mgmt	For	For
	Blended Rationale: .			
1e	Elect Director John M. Dineen	Mgmt	For	For
1f	Elect Director Nella Domenici	Mgmt	For	For
1g	Elect Director Ravi Kumar S	Mgmt	For	For
1h	Elect Director Leo S. Mackay, Jr.	Mgmt	For	For
	Blended Rationale: .			
<b>1</b> i	Elect Director Michael Patsalos-Fox	Mgmt	For	For
1j	Elect Director Stephen J. Rohleder	Mgmt	For	For
1k	Elect Director Abraham "Bram" Schot	Mgmt	For	For

## **Cognizant Technology Solutions Corporation**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction	
11	Elect Director Joseph M. Velli	Mgmt	For	For	
1m	Elect Director Sandra S. Wijnberg	Mgmt	For	For	
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	
4	Approve Omnibus Stock Plan	Mgmt	For	For	
5	Amend Qualified Employee Stock Purchase Plan	Mgmt	For	For	
6	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	
7	Amend Bylaws	SH	Against	Against	
	Blended Rationale: [SF-S4578-108] Corporate policy decisions are best left to the board absent demonstrable harm to shareholders by prior board action or inaction. The board can be held accountable for its decisions through the election of directors.				
8	Submit Severance Agreement (Change-in-Control) to Shareholder Vote	SH	Against	Against	

Blended Rationale: [SF-S0500-102] Executive compensation matters should be left to the board's compensation committee, which can be held accountable for its decisions through the election of directors.

#### **Commercial International Bank (Egypt) SAE**

**Meeting Date:** 03/20/2023

Country: Egypt

Ticker: COMI

Record Date:

Meeting Type: Annual

Primary ISIN: EGS60121C018

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Ordinary Business	Mgmt		
1	Approve Board Report on Company Operations	Mgmt	For	Do Not Vote
2	Approve Corporate Governance Report and Related Auditor's Report	Mgmt	For	Do Not Vote
3	Approve Auditors' Report on Company Standalone and Consolidated Financial Statements	Mgmt	For	Do Not Vote
4	Accept Standalone and Consolidated Financial Statements and Statutory Reports	Mgmt	For	Do Not Vote
5	Approve Allocation of Income and Dividends and Authorize the Board to Set the Guidelines on Employees Profit Distribution	Mgmt	For	Do Not Vote

# **Commercial International Bank (Egypt) SAE**

**Proposal Text** 

Proposal Number

**Record Date:** 

)/2023 <b>Country:</b> Egypt		Ticker: COMI	
l International Bank (Eg	ypt) SAE		
Allow Non Executive Directors to be Involved with Other Companies	Mgmt	For	Do Not Vote
Authorize the Board to Donate Charitable Donations Above EGP 1,000 for FY 2023 and Ratify the Charitable Donations for FY 2022	Mgmt	For	Do Not Vote
Ratify Auditors and Fix Their Remuneration for FY 2023	Mgmt	For	Do Not Vote
Approve Remuneration of Non Executive Chairman and Non Executive Directors for FY 2023	Mgmt	For	Do Not Vote
Elect Nevine Sabbour as Director	Mgmt	For	Do Not Vote
Elect Huda Al Asqalani as Director	Mgmt	For	Do Not Vote
Elect Azeez Moulji as Director	Mgmt	For	Do Not Vote
Elect Fadhil Al Ali as Director	Mgmt	For	Do Not Vote
Elect Shareef Sami as Director	Mgmt	For	Do Not Vote
Elect Jay Michael Baslow as Director	Mgmt	For	Do Not Vote
Elect Rajeev Kakar as Director	Mgmt	For	Do Not Vote
Elect Paresh Sukthankar as Director	Mgmt	For	Do Not Vote
Elect Huseen Abadhah as Director	Mgmt	For	Do Not Vote
Elect Ameen Hisham Izz Al Arab as Director	Mgmt	For	Do Not Vote
Approve Discharge of Chairman and Directors	Mgmt	For	Do Not Vote
Ratify Changes in the Composition of the Board Since 27/03/2022	Mgmt	For	Do Not Vote
Authorize Increase in Issued and Paid in Capital to be Allocated to Employee Stock Purchase Plan and Amend Articles 6 and 7 of Bylaws Accordingly	Mgmt	For	Do Not Vote
ir S	n Capital to be Allocated to Employee tock Purchase Plan and Amend	n Capital to be Allocated to Employee tock Purchase Plan and Amend	n Capital to be Allocated to Employee tock Purchase Plan and Amend

Primary ISIN: EGS60121C018

Mgmt

Instruction

Rec

Proponent

Meeting Type: Extraordinary

Shareholders

# **Commercial International Bank (Egypt) SAE**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Extraordinary Business	Mgmt		
1.1	Amend Article 1 of Bylaws	Mgmt	For	Do Not Vote
1.2	Amend Article 2 of Bylaws	Mgmt	For	Do Not Vote
1.3	Amend Article 3 of Bylaws	Mgmt	For	Do Not Vote
1.4	Amend Article 4 of Bylaws	Mgmt	For	Do Not Vote
1.5	Amend Article 6 of Bylaws	Mgmt	For	Do Not Vote
1.6	Amend Article 8 of Bylaws	Mgmt	For	Do Not Vote
1.7	Amend Article 17 of Bylaws	Mgmt	For	Do Not Vote
1.8	Amend Article 20 of Bylaws	Mgmt	For	Do Not Vote
1.9	Amend Article 22 of Bylaws	Mgmt	For	Do Not Vote
1.10	Amend Article 23 of Bylaws	Mgmt	For	Do Not Vote
1.11	Amend Article 24 of Bylaws	Mgmt	For	Do Not Vote
1.12	Amend Article 25 of Bylaws	Mgmt	For	Do Not Vote
1.13	Amend Article 29 of Bylaws	Mgmt	For	Do Not Vote
1.14	Amend Article 30 of Bylaws	Mgmt	For	Do Not Vote
1.15	Amend Article 32 of Bylaws	Mgmt	For	Do Not Vote
1.16	Amend Article 33 of Bylaws	Mgmt	For	Do Not Vote
1.17	Amend Article 39 of Bylaws	Mgmt	For	Do Not Vote
1.18	Amend Article 40 of Bylaws	Mgmt	For	Do Not Vote
1.19	Amend Article 42 of Bylaws	Mgmt	For	Do Not Vote
1.20	Amend Article 43 of Bylaws	Mgmt	For	Do Not Vote
1.21	Amend Article 44 of Bylaws	Mgmt	For	Do Not Vote
1.22	Amend Article 46bis of Bylaws	Mgmt	For	Do Not Vote
1.23	Amend Article 47bis of Bylaws	Mgmt	For	Do Not Vote

# **Commercial International Bank (Egypt) SAE**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.24	Amend Article 48 of Bylaws	Mgmt	For	Do Not Vote
1.25	Amend Article 48bis of Bylaws	Mgmt	For	Do Not Vote
1.26	Amend Article 49 of Bylaws	Mgmt	For	Do Not Vote
1.27	Amend Article 51 of Bylaws	Mgmt	For	Do Not Vote
1.28	Amend Article 52 of Bylaws	Mgmt	For	Do Not Vote
1.29	Amend Article 55 of Bylaws	Mgmt	For	Do Not Vote
1.30	Amend Article 55bis of Bylaws	Mgmt	For	Do Not Vote
1.31	Amend Article 57 of Bylaws	Mgmt	For	Do Not Vote
1.32	Amend Article 58 of Bylaws	Mgmt	For	Do Not Vote
2	Authorize The Board to Issue Bonds or Subordinate Loans of Up to USD 1 Billion and to Ratify and Execute the Approved Resolution	Mgmt	For	Do Not Vote

### **Contemporary Amperex Technology Co., Ltd.**

**Meeting Date:** 03/31/2023 **Record Date:** 03/22/2023

Country: China
Meeting Type: Annual

**Ticker:** 300750

Primary ISIN: CNE100003662

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Annual Report and Summary	Mgmt	For	For
2	Approve Report of the Board of Directors	Mgmt	For	For
3	Approve Report of the Board of Supervisors	Mgmt	For	For
4	Approve Profit Distribution and Capitalization of Capital Reserves Plan	Mgmt	For	For
5	Approve Financial Statements	Mgmt	For	For
6	Approve 2022 and 2023 Remuneration of Directors	Mgmt	For	For
7	Approve 2022 and 2023 Remuneration of Supervisors	Mgmt	For	For
8	Approve Purchase of Liability Insurance for Directors, Supervisors and Senior Management Members	Mgmt	For	For
9	Approve to Appoint Auditor	Mgmt	For	For

# **Contemporary Amperex Technology Co., Ltd.**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction			
10	Approve Related Party Transaction	Mgmt	For	For			
11	Approve Application of Credit Lines	Mgmt	For	For			
12	Approve Estimated Amount of Guarantees	Mgmt	For	For			
	Blended Rationale: [HK-M0466-001] Level of	f guarantee not proport	tionate to ownership and no	o counter guarantee provided.			
13	Approve Hedging Plan	Mgmt	For	For			
14	Approve Repurchase and Cancellation of Performance Shares Deliberated at the 11th Meeting of the Board of Directors	Mgmt	For	For			
15	Approve Repurchase and Cancellation of Performance Shares Deliberated at the 17th Meeting of the Board of Directors	Mgmt	For	For			
16	Approve Change in Registered Capital and Amendment of Articles of Association Deliberated at the 16th Meeting of the Board of Directors	Mgmt	For	Against			
	Blended Rationale: [SF-M0100-001] On bala under the new Charter/Articles/Bylaws.	nce, we find that share	holders' rights are likely to	be diminished in material ways			
17	Approve Change in Registered Capital and Amendment of Articles of Association Deliberated at the 17th Meeting of the Board of Directors	Mgmt	For	For			
18	Approve Formulation of External Donation Management System	Mgmt	For	Against			
	Blended Rationale: [LN-M0100-005] No clea	Blended Rationale: [LN-M0100-005] No clear benefit to minority shareholders.					
19	Amend the Currency Fund Management System	Mgmt	For	Against			
	Blended Rationale: [SF-M0000-001] Vote agains	due to lack of disclosure.					
20	Amend Management System for Providing External Guarantees	Mgmt	For	Against			
	Blended Rationale: [SF-M0000-001] Vote agains.	due to lack of disclosure.					

### **Contemporary Amperex Technology Co., Ltd.**

Meeting Date: 08/24/2023 Record Date: 08/17/2023 **Country:** China **Meeting Type:** Special **Ticker:** 300750

Primary ISIN: CNE100003662

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Draft and Summary of Performance Shares Incentive Plan	Mgmt	For	For
	Blended Rationale: .			

# **Contemporary Amperex Technology Co., Ltd.**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve Methods to Assess the Performance of Plan Participants	Mgmt	For	For
	Blended Rationale: .			
3	Approve Authorization of the Board to Handle All Related Matters	Mgmt	For	For
	Blended Rationale: .			
4	Elect Ouyang Chuying as Non-Independent Director	Mgmt	For	For
	ELECT INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
5.1	Elect Wu Yuhui as Director	Mgmt	For	For
5.2	Elect Lin Xiaoxiong as Director	Mgmt	For	For
5.3	Elect Zhao Bei as Director	Mgmt	For	For

### **Ganfeng Lithium Group Co., Ltd.**

**Meeting Date:** 06/29/2023 **Record Date:** 06/23/2023

**Country:** China **Meeting Type:** Annual Ticker: 1772

Primary ISIN: CNE1000031W9

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	AGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
	ORDINARY RESOLUTIONS	Mgmt		
1	Approve Work Report of the Board	Mgmt	For	For
2	Approve Work Report of the Board of Supervisors	Mgmt	For	For
3	Approve Annual Report, Summary of the Annual Report and Annual Results Announcement	Mgmt	For	For
4	Approve Financial Report as Respectively Audited by the Domestic and Overseas Auditors	Mgmt	For	For
5	Approve Ernst & Young Hua Ming LLP as Domestic Auditor and Internal Control Auditor and Ernst & Young as Overseas Auditor	Mgmt	For	For
6	Approve Remuneration of Domestic and Overseas Auditors and the Internal Control Auditors	Mgmt	For	For
7	Approve Determination of Directors' Emoluments	Mgmt	For	For
8	Approve Determination of Supervisors' Emoluments	Mgmt	For	For

## **Ganfeng Lithium Group Co., Ltd.**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
9	Approve Amendments to the External Donations and Sponsorships Management System	Mgmt	For	For
10	Approve Amendments to the Venture Capital Investment Management System	Mgmt	For	Against
	Blended Rationale: [RU-M0300-003] This author excessive discretion.	rity is not in shareholders'	best economic interests as it would give the board	
	SPECIAL RESOLUTIONS	Mgmt		
1	Approve Profit Distribution Proposal	Mgmt	For	For
2	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
	Blended Rationale: [RU-M0300-003] This authority is excessive discretion.	s not in shareholders' best ecc	nomic interests as it would give the board	
3	Approve General Mandate to Issue Domestic and Overseas Debt Financing Instruments	Mgmt	For	For
	Blended Rationale: .			
4	Approve Venture Capital Investment with Self-Owned Funds	Mgmt	For	Against
	Blended Rationale: [HK-M0469-001] Such investmen	nts could expose company to u	innecessary risks	
5	Approve Continuing Related-Party Transactions Forecast Between the Company and Lithium Americas	Mgmt	For	For
6	Approve Proposed Derivatives Trading with Self-owned Funds	SH	For	Against
	Blended Rationale: [HK-M0469-001] Such inves	stments could expose comp	nany to unnecessary risks	

#### **Ganfeng Lithium Group Co., Ltd.**

Meeting Date: 11/30/2023 Record Date: 11/24/2023 Country: China

Meeting Type: Extraordinary

Shareholders

Ticker: 1772

Primary ISIN: CNE1000031W9

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Adoption of the 2023 Employee Stock Ownership Plan	Mgmt	For	Against
	Blended Rationale: [LN-M0500-001] Incentive a	arrangements do not support	t the long-term economic interests	of shareholders.

# **Ganfeng Lithium Group Co., Ltd.**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction		
2	Approve Adoption of the Management Measures for the 2023 Employee Stock Ownership Plan	Mgmt	For	Against		
	Blended Rationale: [LN-M0500-001] Incentive arrang	gements do not support the lor	ng-term economic interests of shareholders.			
3	Approve Authorization to the Board to Deal with Relevant Matters in Relation to the 2023 Employee Stock Ownership Plan	Mgmt	For	Against		
	Blended Rationale: [LN-M0500-001] Incentive arrangements do not support the long-term economic interests of shareholders.					
4	Approve Signing of Investment Agreement by Ganfeng LiEnergy	Mgmt	For	For		

#### **Gold Fields Ltd.**

**Meeting Date:** 05/24/2023 **Record Date:** 04/18/2023

**Country:** South Africa **Meeting Type:** Annual Ticker: GFI

Primary ISIN: ZAE000018123

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction	
	Meeting for ADR Holders	Mgmt			
	Ordinary Resolutions	Mgmt			
1	Reappoint PricewaterhouseCoopers Inc as Auditors of the Company	Mgmt	For	For	
2.1	Elect Martin Preece as Director	Mgmt	For	For	
2.2	Re-elect Yunus Suleman as Director	Mgmt	For	For	
2.3	Re-elect Terence Goodlace as Director	Mgmt	For	For	
	Blended Rationale: [SF-M0201-019] Nominee serves on an excessive number of public company boards, which we believe raises substantial concerns about the director's ability to exercise sufficient oversight on this board.				
2.4	Re-elect Philisiwe Sibiya as Director	Mgmt	For	For	
3.1	Re-elect Philisiwe Sibiya as Chairperson of the Audit Committee	Mgmt	For	For	
3.2	Re-elect Alhassan Andani as Member of the Audit Committee	Mgmt	For	For	
3.3	Re-elect Peter Bacchus as Member of the Audit Committee	Mgmt	For	For	
4	Place Authorised but Unissued Shares under Control of Directors	Mgmt	For	For	
5.1	Approve Remuneration Policy	Mgmt	For	For	

#### **Gold Fields Ltd.**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction	
5.2	Approve Remuneration Implementation Report	Mgmt	For	For	
	Blended Rationale: [LN-M0550-004] Poor use of	remuneration committee dis	cretion regarding the grant of a one-off award.		
	Special Resolutions	Mgmt			
1	Authorise Board to Issue Shares for Cash	Mgmt	For	For	
2	Approve Remuneration of Non-Executive Directors	Mgmt	For	For	
2.1	Approve Remuneration of Chairperson of the Board	Mgmt	For	For	
2.2	Approve Remuneration of Lead Independent Director of the Board	Mgmt	For	For	
2.3	Approve Remuneration of Members of the Board	Mgmt	For	For	
2.4	Approve Remuneration of Chairperson of the Audit Committee	Mgmt	For	For	
2.5	Approve Remuneration of Chairpersons of the Capital Projects, Control and Review Committee, Nominating and Governance Committee, Remuneration Committee, Risk Committee, SET Committee and SHSD Committee	Mgmt	For	For	
2.6	Approve Remuneration of Members of the Audit Committee	Mgmt	For	For	
2.7	Approve Remuneration of Members of the Capital Projects, Control and Review Committee, Nominating and Governance Committee, Remuneration Committee, Risk Committee, SET Committee and SHSD Committee	Mgmt	For	For	
2.8	Approve Remuneration of Chairperson of an Ad-hoc Committee	Mgmt	For	For	
2.9	Approve Remuneration of Member of an Ad-hoc Committee	Mgmt	For	For	
3	Approve Financial Assistance in Terms of Sections 44 and 45 of the Companies Act	Mgmt	For	For	
4	Authorise Repurchase of Issued Share Capital	Mgmt	For	For	

### **Grupo Financiero Banorte SAB de CV**

Meeting Date: 04/21/2023Country: MexicoRecord Date: 04/10/2023Meeting Type: Annual

Ticker: GFNORTEO

Primary ISIN: MXP370711014

# **Grupo Financiero Banorte SAB de CV**

Proposal lumber	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.a	Approve CEO's Report on Financial Statements and Statutory Reports	Mgmt	For	Abstain
	Blended Rationale: [RU-M0105-001] Abstaining to irregularities be discovered at a future date.	from this item preserves s	hareholders' right to take legal action	should
1.b	Approve Board's Report on Policies and Accounting Information and Criteria Followed in Preparation of Financial Information	Mgmt	For	For
1.c	Approve Board's Report on Operations and Activities Undertaken by Board	Mgmt	For	For
1.d	Approve Report on Activities of Audit and Corporate Practices Committee	Mgmt	For	For
1.e	Approve All Operations Carried out by Company and Ratify Actions Carried out by Board, CEO and Audit and Corporate Practices Committee	Mgmt	For	For
2	Approve Allocation of Income	Mgmt	For	For
3	Receive Auditor's Report on Tax Position of Company	Mgmt		
4.a1	Elect Carlos Hank Gonzalez as Board Chairman	Mgmt	For	For
	Blended Rationale: The company provided percentive in outside boards	public information upda	ting Board membership, this dire	ctor does not sit as an
4.a2	Elect Juan Antonio Gonzalez Moreno as Director	Mgmt	For	For
4.a3	Elect David Juan Villarreal Montemayor as Director	Mgmt	For	For
4.a4	Elect Jose Marcos Ramirez Miguel as Director	Mgmt	For	For
4.a5	Elect Carlos de la Isla Corry as Director	Mgmt	For	For
4.a6	Elect Everardo Elizondo Almaguer as Director	Mgmt	For	For
4.a7	Elect Alicia Alejandra Lebrija Hirschfeld as Director	Mgmt	For	For
4.a8	Elect Clemente Ismael Reyes Retana Valdes as Director	Mgmt	For	For
4.a9	Elect Mariana Banos Reynaud as Director	Mgmt	For	For
4.a10	Elect Federico Carlos Fernandez Senderos as Director	Mgmt	For	For
	Blended Rationale: The company provided percentive in outside boards	public information upda	ting Board membership, this dire	ctor does not sit as an
4.a11	Elect David Penaloza Alanis as Director	Mgmt	For	For

# **Grupo Financiero Banorte SAB de CV**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4.a12	Elect Jose Antonio Chedraui Eguia as Director	Mgmt	For	For
	Blended Rationale: Director is not overboarded	d per our policies		
4.a13	Elect Alfonso de Angoitia Noriega as Director	Mgmt	For	For
	Blended Rationale: Director is not overboarded	d per our policies		
4.a14	Elect Thomas Stanley Heather Rodriguez as Director	Mgmt	For	For
4.a15	Elect Graciela Gonzalez Moreno as Alternate Director	Mgmt	For	For
4.a16	Elect Juan Antonio Gonzalez Marcos as Alternate Director	Mgmt	For	For
4.a17	Elect Alberto Halabe Hamui as Alternate Director	Mgmt	For	For
4.a18	Elect Gerardo Salazar Viezca as Alternate Director	Mgmt	For	For
4.a19	Elect Alberto Perez-Jacome Friscione as Alternate Director	Mgmt	For	For
4.a20	Elect Diego Martinez Rueda-Chapital as Alternate Director	Mgmt	For	For
4.a21	Elect Roberto Kelleher Vales as Alternate Director	Mgmt	For	For
4.a22	Elect Cecilia Goya de Riviello Meade as Alternate Director	Mgmt	For	For
4.a23	Elect Jose Maria Garza Trevino as Alternate Director	Mgmt	For	For
4.a24	Elect Manuel Francisco Ruiz Camero as Alternate Director	Mgmt	For	For
4.a25	Elect Carlos Cesarman Kolteniuk as Alternate Director	Mgmt	For	For
4.a26	Elect Humberto Tafolla Nunez as Alternate Director	Mgmt	For	For
4.a27	Elect Carlos Phillips Margain as Alternate Director	Mgmt	For	For
4.a28	Elect Ricardo Maldonado Yanez as Alternate Director	Mgmt	For	For
4.b	Elect Hector Avila Flores (Non-Member) as Board Secretary	Mgmt	For	For
4.c	Approve Directors Liability and Indemnification	Mgmt	For	For
5	Approve Remuneration of Directors	Mgmt	For	For
6	Elect Thomas Stanley Heather Rodriguez as Chairman of Audit and Corporate Practices Committee	Mgmt	For	For
7.1	Approve Report on Share Repurchase	Mgmt	For	For
7.2	Set Aggregate Nominal Amount of Share Repurchase Reserve	Mgmt	For	For
8	Approve Certification of Company's Bylaws	Mgmt	For	For

#### **Grupo Financiero Banorte SAB de CV**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
9	Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	For	For

#### **Grupo Financiero Banorte SAB de CV**

**Meeting Date:** 06/02/2023 **Record Date:** 05/22/2023

Country: Mexico

Meeting Type: Ordinary Shareholders

Ticker: GFNORTEO

Primary ISIN: MXP370711014

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Approve Cash Dividends of MXN 7.87 Per Share	Mgmt	For	For
1.2	Approve Dividend to Be Paid on June 12, 2023	Mgmt	For	For
2	Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	For	For

#### **Grupo Financiero Banorte SAB de CV**

**Meeting Date:** 10/05/2023 **Record Date:** 09/22/2023

Country: Mexico

Meeting Type: Ordinary Shareholders

Ticker: GFNORTEO

Primary ISIN: MXP370711014

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Approve Cash Dividends of MXN 5.20 Per Share	Mgmt	For	For
1.2	Approve Cash Dividend to Be Paid on Oct. 16, 2023	Mgmt	For	For
2	Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	For	For

#### **Haier Smart Home Co., Ltd.**

**Meeting Date:** 06/26/2023 **Record Date:** 06/16/2023

Country: China

Meeting Type: Annual

Ticker: 6690

Primary ISIN: CNE1000048K8

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	AGM BALLOT FOR HOLDERS OF A	Mamt		

AGM BALLOT FOR HOLDERS OF A SHARES

Mgmt

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Financial Statements	Mgmt	For	For
2	Approve Annual Report and Annual Report Summary	Mgmt	For	For
3	Approve Report on the Work of the Board of Directors	Mgmt	For	For
4	Approve Report on the Work of the Board of Supervisors	Mgmt	For	For
5	Approve Audit Report on Internal Control	Mgmt	For	For
6	Approve Profit Distribution Plan	Mgmt	For	For
7	Approve Appointment of PRC Accounting Standards Auditors	Mgmt	For	Against
	Blended Rationale: [SF-S0105-002] Vote AG	AINST auditor appoint	ment due to concerns about to	he independence of the auditor
8	Approve Appointment of International Accounting Standards Auditors	Mgmt	For	For
9	Approve Renewal of the Financial Services Framework Agreement and Its Expected Related-Party Transaction Limit with Haier Group Corporation and Haier Group Finance Co., Ltd.	Mgmt	For	Against
	Blended Rationale: [HK-M0460-001] Such tra	ansactions could expos	se company to unnecessary ris	sks
10	Approve Anticipated Guarantees' Amounts for the Company and Its Subsidiaries	Mgmt	For	For
11	Approve Conduct of Foreign Exchange Fund Derivatives Business	Mgmt	For	For
12	Approve Purchase of Liability Insurance for Directors, Supervisors, and Senior Management	Mgmt	For	For
13	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for Additional Issuance of A Shares	Mgmt	For	For
14	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for Additional Issuance of H Shares	Mgmt	For	For
15	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for Additional Issuance of D Shares	Mgmt	For	For
16	Approve Grant of General Mandate to the Board to Repurchase H Shares	Mgmt	For	For
17	Approve Grant of General Mandate to the Board to Repurchase D Shares	Mgmt	For	For
18	Approve 2023 A Share Core Employee Stock Ownership Plan (Draft) and Its Summary	SH	For	For

Blended Rationale: [SF-S0000-006] This item was proposed by the controlling shareholder / management, and we consider it to be in the best interest of shareholders to support the proposal.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
19	Approve 2023 H Share Core Employee Stock Ownership Plan (Draft) and Its Summary	SH	For	For
	DI			

Blended Rationale: [SF-S0000-006] This item was proposed by the controlling shareholder / management, and we consider it to be in the best interest of shareholders to support the proposal.

#### **Haier Smart Home Co., Ltd.**

**Meeting Date:** 06/26/2023 **Record Date:** 06/16/2023

Country: China
Meeting Type: Special

Ticker: 6690

Primary ISIN: CNE1000048K8

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF A SHARES	Mgmt		
1	Approve Grant of General Mandate to the Board to Repurchase H Shares	Mgmt	For	For
2	Approve Grant of General Mandate to the Board to Repurchase D Shares	Mgmt	For	For

#### **Haier Smart Home Co., Ltd.**

**Meeting Date:** 06/26/2023 **Record Date:** 06/16/2023

**Country:** China **Meeting Type:** Annual Ticker: 6690

Primary ISIN: CNE1000048K8

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	AGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Financial Statements	Mgmt	For	For
2	Approve Annual Report and Annual Report Summary	Mgmt	For	For
3	Approve Report on the Work of the Board of Directors	Mgmt	For	For
4	Approve Report on the Work of the Board of Supervisors	Mgmt	For	For
5	Approve Audit Report on Internal Control	Mgmt	For	For
6	Approve Profit Distribution Plan	Mgmt	For	For
7	Approve Appointment of PRC Accounting Standards Auditors	Mgmt	For	Against

Blended Rationale: [SF-M0101-004] Vote AGAINST auditor appointment due to concerns about the independence of the auditor

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
8	Approve Appointment of International Accounting Standards Auditors	Mgmt	For	For
9	Approve Renewal of the Financial Services Framework Agreement and Its Expected Related-Party Transaction Limit with Haier Group Corporation and Haier Group Finance Co., Ltd.	Mgmt	For	Against
	Blended Rationale: [HK-M0460-001] Such tr	ansactions could expos	e company to unnecessary risks	
10	Approve Anticipated Guarantees' Amounts for the Company and Its Subsidiaries	Mgmt	For	For
11	Approve Conduct of Foreign Exchange Fund Derivatives Business	Mgmt	For	For
12	Approve Purchase of Liability Insurance for Directors, Supervisors, and Senior Management	Mgmt	For	For
13	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for Additional Issuance of A Shares	Mgmt	For	For
14	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for Additional Issuance of H Shares	Mgmt	For	For
15	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for Additional Issuance of D Shares	Mgmt	For	For
16	Approve Grant of General Mandate to the Board to Repurchase H Shares	Mgmt	For	For
17	Approve Grant of General Mandate to the Board to Repurchase D Shares	Mgmt	For	For
18	Approve 2023 A Share Core Employee Stock Ownership Plan (Draft) and Its Summary	SH	For	For
	Blended Rationale: [SF-S0000-006] This iter to be in the best interest of shareholders to		controlling shareholder / managen	ent, and we consider it
19	Approve 2023 H Share Core Employee Stock Ownership Plan (Draft) and Its Summary	SH	For	For

## **Haier Smart Home Co., Ltd.**

Meeting Date: 06/26/2023 Record Date: 06/16/2023 Country: China Meeting Type: Special

Ticker: 6690

Primary ISIN: CNE1000048K8

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Grant of General Mandate to the Board to Repurchase H Shares	Mgmt	For	For
2	Approve Grant of General Mandate to the Board to Repurchase D Shares	Mgmt	For	For

## **Hang Lung Properties Limited**

**Meeting Date:** 04/28/2023 **Record Date:** 04/24/2023

Country: Hong Kong

Ticker: 101

Meeting Type: Annual

excessive discretion on terms of issuance.

**Primary ISIN:** HK0101000591

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3a	Elect Nelson Wai Leung Yuen as Director	Mgmt	For	Against
	Blended Rationale: [HK-M0201-013] Remunerati committee is not majority independent.[HK-M020 Chair of the Nomination Committee is not indepe	11-012] Chair of Remun		
3b	Elect Andrew Ka Ching Chan as Director	Mgmt	For	For
3c	Elect Adriel Chan as Director	Mgmt	For	For
3d	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For
4	Approve KPMG as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Authorize Repurchase of Issued Share Capital	Mgmt	For	For
6	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against

### **Hang Lung Properties Limited**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
7	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against
	Planded Pationale: [P.H.M0300 0031 This author	ity is not in sharahaldars'	host oconomic intoracts as it we	auld give the heard

Blended Rationale: [RU-M0300-003] This authority is not in shareholders' best economic interests as it would give the board excessive discretion on terms of issuance.

### **Industrial and Commercial Bank of China Limited**

**Meeting Date:** 06/29/2023 **Record Date:** 06/19/2023

Country: China
Meeting Type: Annual

Ticker: 1398

Primary ISIN: CNE1000003G1

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	AGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Work Report of the Board of Directors	Mgmt	For	For
2	Approve Work Report of the Board of Supervisors	Mgmt	For	For
3	Approve Audited Accounts	Mgmt	For	For
4	Approve Profit Distribution Plan	Mgmt	For	For
5	Approve Fixed Asset Investment Budget	Mgmt	For	For
6	Approve Deloitte Touche Tohmatsu Certified Public Accountants LLP as Domestic External Auditor and Deloitte Touche Tohmatsu as International External Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Elect Feng Weidong as Director	Mgmt	For	For
8	Elect Cao Liqun as Director	Mgmt	For	Against
	Blended Rationale: [SF-M0201-034] The col and targets.	mpany does not meet o	our aspirations of having adequ	ate climate-related metrics
9	Authorize Board to Deal with All Matters Relating to Directors', Supervisors' and Senior Management Members' Liability Insurance	Mgmt	For	For

#### **Industrial and Commercial Bank of China Limited**

Meeting Date: 11/30/2023

Country: China

Ticker: 1398

**Record Date:** 11/24/2023

Meeting Type: Extraordinary

Primary ISIN: CNE1000003G1

Shareholders

#### **Industrial and Commercial Bank of China Limited**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Payment Plan of Remuneration to Directors	Mgmt	For	For
2	Approve Payment Plan of Remuneration to Supervisors	Mgmt	For	For
3	Approve Application for Temporary Authorization Limit for External Donations	Mgmt	For	For
4	Approve Issuance Amount of Capital Instruments	Mgmt	For	For
5	Elect Herbert Walter as Director	Mgmt	For	For

#### **Kanzhun Limited**

**Meeting Date:** 06/26/2023 **Record Date:** 05/25/2023

**Country:** Cayman Islands **Meeting Type:** Annual

Ticker: 2076

Primary ISIN: KYG5224V1032

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt		
1	Accept Financial Statements and Statutory Reports (Voting)	Mgmt	For	For
2	Elect Director Yu Zhang	Mgmt	For	For
3	Elect Director Xu Chen	Mgmt	For	For
4	Elect Director Haiyang Yu	Mgmt	For	For
5	Approve Remuneration of Directors	Mgmt	For	For
6	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
	Blended Rationale: [RU-M0300-003] This at excessive discretion.	ithority is not in sharei	holders' best economic inter	rests as it would give the board
7	Authorize Share Repurchase Program	Mgmt	For	For
8	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against
	Blended Rationale: [RU-M0300-003] This at excessive discretion.	uthority is not in share	holders' best economic inter	rests as it would give the board
9	Approve PricewaterhouseCoopers as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

### Kaspi.kz JSC

**Meeting Date:** 04/10/2023 **Record Date:** 02/24/2023

**Country:** Kazakhstan **Meeting Type:** Annual

Ticker: KSPI

Primary ISIN: KZ1C00001536

Proposal			Mgmt	Vote
Number	Proposal Text	Proponent	Rec	Instruction
	Meeting for GDR Holders	Mgmt		
1	Approve Meeting Agenda	Mgmt	For	For
2	Approve Financial Statements	Mgmt	For	For
3	Approve Allocation of Income and Dividends	Mgmt	For	For
4	Approve Results of Shareholders Appeals on Actions of Company and Its Officials	Mgmt	For	For
5	Approve Terms of Remuneration of Directors	Mgmt	For	Against
	Blended Rationale: [SF-M0000-001] Vote against	t due to lack of disclosure.		
6	Ratify Auditor	Mgmt	For	Against
	Blended Rationale: [SF-M0000-001] Vote against	t due to lack of disclosure.		
7	Fix Number and Term of Office of Members of Vote Counting Commission	Mgmt	For	For
8	Amend Company's Corporate Governance Statement	Mgmt	For	Against
	Blended Rationale: [SF-M0000-001] Vote against	t due to lack of disclosure.		
9	Amend Charter	Mgmt	For	Against
	Blended Rationale: [SF-M0000-001] Vote against	t due to lack of disclosure.		
А	I am not a Legal Entity or Having Shareholder Participant, or an Individual which Participates in Legal Entities Incorporated in any Offshore Zones promulgated by the Agency on Financial Supervision of Kazakhstan	Mgmt	For	For
В	For participation of BNY Mellon in EGM in favor of Holder, the Holder entitles BNY Mellon to disclose information about Holder in Central Securities Depositary of Republic of Kazakhstan and register of shareholders	Mgmt	For	For

## Kaspi.kz JSC

**Meeting Date:** 05/24/2023 **Record Date:** 04/21/2023

Country: Kazakhstan

Meeting Type: Extraordinary

Shareholders

Ticker: KSPI

Primary ISIN: KZ1C00001536

# Kaspi.kz JSC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Meeting for GDR Holders	Mgmt		
1	Approve Meeting Agenda	Mgmt	For	Do Not Vote
2	Approve Dividends	Mgmt	For	Do Not Vote
А	I am not a Legal Entity or Having Shareholder Participant, or an Individual which Participates in Legal Entities Incorporated in any Offshore Zones promulgated by the Agency on Financial Supervision of Kazakhstan	Mgmt	For	Do Not Vote
В	For participation of BNY Mellon in EGM in favor of Holder, the Holder entitles BNY Mellon to disclose information about Holder in Central Securities Depositary of Republic of Kazakhstan and register of shareholders	Mgmt	For	Do Not Vote

## Kaspi.kz JSC

**Meeting Date:** 08/23/2023 **Record Date:** 07/20/2023

Country: Kazakhstan

Meeting Type: Extraordinary

Shareholders

Ticker: KSPI

Primary ISIN: KZ1C00001536

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Meeting for GDR Holders	Mgmt		
1	Approve Meeting Agenda	Mgmt	For	Do Not Vote
2	Approve Dividends	Mgmt	For	Do Not Vote
A	I am not a Legal Entity or Having Shareholder Participant, or an Individual which Participates in Legal Entities Incorporated in any Offshore Zones promulgated by the Agency on Financial Supervision of Kazakhstan	Mgmt	For	Do Not Vote
В	For participation of BNY Mellon in EGM in favor of Holder, the Holder entitles BNY Mellon to disclose information about Holder in Central Securities Depositary of Republic of Kazakhstan and register of shareholders	Mgmt	For	Do Not Vote

### Kaspi.kz JSC

**Meeting Date:** 11/20/2023 **Record Date:** 10/19/2023

**Country:** Kazakhstan **Meeting Type:** Extraordinary

Shareholders

Ticker: KSPI

Primary ISIN: KZ1C00001536

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Meeting for GDR Holders	Mgmt		
1	Approve Meeting Agenda	Mgmt	For	Do Not Vote
2	Amend Company's Corporate Governance Code	Mgmt	For	Do Not Vote
3	Approve Dividends of KZT 850 per Share	Mgmt	For	Do Not Vote
A	I am not a Legal Entity or Having Shareholder Participant, or an Individual which Participates in Legal Entities Incorporated in any Offshore Zones promulgated by the Agency on Financial Supervision of Kazakhstan	Mgmt	For	Do Not Vote
В	For participation of BNY Mellon in EGM in favor of Holder, the Holder entitles BNY Mellon to disclose information about Holder in Central Securities Depositary of Republic of Kazakhstan and register of shareholders	Mgmt	For	Do Not Vote

#### **KE Holdings, Inc.**

Meeting Date: 06/15/2023 Record Date: 05/12/2023 **Country:** Cayman Islands **Meeting Type:** Annual

Ticker: 2423

Primary ISIN: KYG5223Y1089

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt		
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2a.1	Elect Director Tao Xu	Mgmt	For	For
2a.2	Elect Director Wangang Xu	Mgmt	For	For
2a.3	Elect Director Hansong Zhu	Mgmt	For	For
2b	Approve Remuneration of Directors	Mgmt	For	For
3	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against

Blended Rationale: [RU-M0300-003] This authority is not in shareholders' best economic interests as it would give the board excessive discretion.

### **KE Holdings, Inc.**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction		
4	Authorize Share Repurchase Program	Mgmt	For	For		
5	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against		
	Blended Rationale: [RU-M0300-003] This authority is not in shareholders' best economic interests as it would give the board excessive discretion.					
6	Approve PricewaterhouseCoopers and PricewaterhouseCoopers Zhong Tian LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For		

### LONGi Green Energy Technology Co., Ltd.

**Meeting Date:** 02/02/2023 **Record Date:** 01/18/2023

**Country:** China **Meeting Type:** Special Ticker: 601012

Primary ISIN: CNE100001FR6

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction	
1	Approve Estimated Amount of Guarantees	Mgmt	For	For	
2	Approve Provision of Guarantees to Controlled Subsidiaries and Related Party Transactions	Mgmt	For	Against	
	Blended Rationale: [HK-M0466-001] Level of guarantee not proportionate to ownership and no counter guarantee provided.				
3	Approve Provision of Guarantee for Loan Business	Mgmt	For	For	
4	Approve Foreign Exchange Derivatives Transactions	Mgmt	For	For	
5	Approve Daily Related Party Transactions	Mgmt	For	For	
6	Approve Change in High-efficiency Monocrystalline Cell Project	Mgmt	For	For	

#### LONGi Green Energy Technology Co., Ltd.

**Meeting Date:** 05/18/2023 **Record Date:** 05/11/2023

**Country:** China **Meeting Type:** Annual Ticker: 601012

Primary ISIN: CNE100001FR6

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Report of the Board of Directors	Mgmt	For	For
2	Approve Report of the Board of Supervisors	Mgmt	For	For

# LONGi Green Energy Technology Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction			
3	Approve Financial Statements	Mgmt	For	For			
4	Approve Annual Report	Mgmt	For	For			
5	Approve Report of the Independent Directors	Mgmt	For	For			
6	Approve Profit Distribution	Mgmt	For	For			
7	Approve to Appoint Auditor	Mgmt	For	For			
8	Approve Formulation of Remuneration Management Methods for Directors, Supervisors and Senior Management Members	Mgmt	For	For			
9	Approve Adjustment of Allowance of External Directors	Mgmt	For	For			
10	Approve Adjustment of Allowance of External Supervisors	Mgmt	For	For			
11	Approve Repurchase and Cancellation of Performance Shares	Mgmt	For	For			
12	Approve Change in Registered Capital and Amendment of Articles of Association	Mgmt	For	For			
13	Approve Change in Raised Funds Investment Project	SH	For	For			
	Blended Rationale: [SF-S0000-006] This item was proposed by the controlling shareholder / management, and we consider it to be in the best interest of shareholders to support the proposal.						
14	Approve Shareholding of Controlled Subsidiary by Directors, Senior Management and Operation Teams	SH	For	For			
	Blended Rationale: [SF-S0000-006] This item wa be in the best interest of shareholders to support		lling shareholder / management, a	nd we consider it to			
15	Approve Capital Injection in Controlled Subsidiary and Related Party Transaction	SH	For	For			

Blended Rationale: [SF-S0000-006] This item was proposed by the controlling shareholder / management, and we consider it to be in the best interest of shareholders to support the proposal.

## **LONGi Green Energy Technology Co., Ltd.**

Meeting Date: 07/07/2023

Country: China

Ticker: 601012

**Record Date:** 06/30/2023

Meeting Type: Special

Primary ISIN: CNE100001FR6

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Issuance of GDR Based on New Domestic A-shares and Listing on Swiss Stock Exchange	Mgmt	For	For

## **LONGi Green Energy Technology Co., Ltd.**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve Demonstration Analysis Report in Connection to Issuance of GDR Based on New Domestic A-shares and Listing on Swiss Stock Exchange	Mgmt	For	For
3	Approve Feasibility Analysis Report on the Use of Proceeds	Mgmt	For	For
4	Approve Report on the Usage of Previously Raised Funds	Mgmt	For	For
5	Approve Impact of Dilution of Current Returns on Major Financial Indicators, the Relevant Measures to be Taken and Commitment from Relevant Parties	Mgmt	For	For
6	Approve Shareholder Dividend Return Plan	Mgmt	For	For

### LONGi Green Energy Technology Co., Ltd.

**Meeting Date:** 08/14/2023 **Record Date:** 08/07/2023

Country: China

Ticker: 601012

Meeting Type: Special

Primary ISIN: CNE100001FR6

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Termination of the Stock Option and Performance Share Incentive Plan, Cancellation of Stock Options and Repurchase and Cancellation of Performance Shares	Mgmt	For	For
2	Approve Change in Registered Capital and Amendment of Articles of Association	Mgmt	For	For

#### LONGi Green Energy Technology Co., Ltd.

**Meeting Date:** 12/25/2023 **Record Date:** 12/18/2023

**Country:** China **Meeting Type:** Special **Ticker:** 601012

Primary ISIN: CNE100001FR6

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Transfer of Partnership Shares and Related Transactions by Wholly-Owned Subsidiaries	Mgmt	For	For
2	Approve Estimated Guarantee Limit Between the Company and its Wholly-owned Subsidiaries	Mgmt	For	For

# LONGi Green Energy Technology Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Approve Provision of Guarantee to Subsidiaries	Mgmt	For	Against
	Blended Rationale: [HK-M0466-001] Level of guaran	ntee not proportionate to own	ership and no counter guarantee provided.	
4	Approve Provision of Margin Guarantee for Household Distributed Photovoltaic Loan Business	Mgmt	For	For
5	Approve Related Party Transaction	Mgmt	For	For
6	Amend Articles of Association	Mgmt	For	Against
	Blended Rationale: [SF-M0100-001] On balance under the new Charter/Articles/Bylaws.	e, we find that shareholde	rs' rights are likely to be diminished in material way.	s
7	Amend Rules and Procedures Regarding Meetings of Board of Directors	Mgmt	For	Against
	Blended Rationale: [SF-M0000-001] Vote against du	ue to lack of disclosure.		
8	Amend Rules and Procedures Regarding General Meetings of Shareholders	Mgmt	For	Against
	Blended Rationale: [SF-M0000-001] Vote against du	ue to lack of disclosure.		
9	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	Mgmt	For	Against
	Blended Rationale: [SF-M0000-001] Vote against du	ue to lack of disclosure.		
10	Amend Working System for Independent Directors	Mgmt	For	Against
	Blended Rationale: [SF-M0000-001] Vote against du	ue to lack of disclosure.		
11	Amend Special Management System for Raised Funds	Mgmt	For	Against
	Blended Rationale: [SF-M0000-001] Vote against du	ue to lack of disclosure.		
12	Amend Related-Party Transaction Management System	Mgmt	For	Against
	Blended Rationale: [SF-M0000-001] Vote against du	ue to lack of disclosure.		
13	Amend Entrusted Financial Management System	Mgmt	For	Against
	Blended Rationale: [SF-M0000-001] Vote against du	ue to lack of disclosure.		
14	Amend Securities Investment and Derivatives Trading Management System	Mgmt	For	Against
Blended Rationale: [SF-M0000-001] Vote against due to lack of disclosure.				

## **OTP Bank Nyrt**

**Meeting Date:** 04/28/2023 **Record Date:** 04/26/2023

Country: Hungary
Meeting Type: Annual

Ticker: OTP

Primary ISIN: HU0000061726

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Financial Statements, Statutory Reports, and Allocation of Income and Dividends of HUF 300 per Share	Mgmt	For	Do Not Vote
2	Approve Company's Corporate Governance Statement	Mgmt	For	Do Not Vote
3	Approve Discharge of Management Board	Mgmt	For	Do Not Vote
4	Ratify Ernst & Young Ltd. as Auditor and Authorize Board to Fix Its Remuneration	Mgmt	For	Do Not Vote
5	Approve Remuneration Policy	Mgmt	For	Do Not Vote
	Blended Rationale: [SD-M0550-001] Disclosure and the link between performance-based pay an		understanding of the company's	's remuneration policies
6	Elect Antal Gyorgy Kovacs as Management Board Member	Mgmt	For	Do Not Vote
7.1	Elect Antal Tibor Tolnay as Supervisory Board Member	Mgmt	For	Do Not Vote
	Blended Rationale: [LN-M0201-002] Nominee so board. [RU-M0201-028] Vote against Nominating on the board. [SF-M0201-020] Vote against comperformance and/or peers.	n/Governance Committee n	nember for failure to adequately	account for diversity
7.2	Elect Jozsef Gabor Horvath as Supervisory Board Member	Mgmt	For	Do Not Vote
	Blended Rationale: [RU-M0201-028] Vote again for diversity on the board.[SF-M0201-020] Vote with performance and/or peers.			
7.3	Elect Tamas Gudra as Supervisory Board Member	Mgmt	For	Do Not Vote
	Blended Rationale: [RU-M0201-028] Vote again for diversity on the board.[SF-M0201-020] Vote with performance and/or peers.	-		,
7.4	Elect Olivier Pequeux as Supervisory Board Member	Mgmt	For	Do Not Vote
	Blended Rationale: [SF-M0201-020] Vote agains performance and/or peers.	st compensation committee	e member because pay is not pro	roperly aligned with

## **OTP Bank Nyrt**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
7.5	Elect Klara Bella as Supervisory Board Member	Mgmt	For	Do Not Vote
7.6	Elect Andras Michnai as Supervisory Board Member	Mgmt	For	Do Not Vote
8.1	Elect Antal Tibor Tolnay as Audit Committee Member	Mgmt	For	Do Not Vote
8.2	Elect Jozsef Gabor Horvath as Audit Committee Member	Mgmt	For	Do Not Vote
8.3	Elect Tamas Gudra as Audit Committee Member	Mgmt	For	Do Not Vote
8.4	Elect Olivier Pequeux as Audit Committee Member	Mgmt	For	Do Not Vote
9	Approve Terms of Remuneration of Supervisory Board and Audit Committee Members	Mgmt	For	Do Not Vote
10	Authorize Share Repurchase Program	Mgmt	For	Do Not Vote

Blended Rationale: [LN-M0346-001] Proposed repurchase authority is excessive.

#### **Prudential Plc**

**Meeting Date:** 05/25/2023 **Record Date:** 05/23/2023

**Country:** United Kingdom **Meeting Type:** Annual

Ticker: PRU

**Primary ISIN:** GB0007099541

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Remuneration Report	Mgmt	For	For
3	Approve Remuneration Policy	Mgmt	For	For
4	Elect Arijit Basu as Director	Mgmt	For	For
5	Elect Claudia Dyckerhoff as Director	Mgmt	For	For
6	Elect Anil Wadhwani as Director	Mgmt	For	For
7	Re-elect Shriti Vadera as Director	Mgmt	For	For
8	Re-elect Jeremy Anderson as Director	Mgmt	For	For
9	Re-elect Chua Sock Koong as Director	Mgmt	For	For
10	Re-elect David Law as Director	Mgmt	For	For
11	Re-elect Ming Lu as Director	Mgmt	For	For
12	Re-elect George Sartorel as Director	Mgmt	For	For
13	Re-elect Jeanette Wong as Director	Mgmt	For	For

#### **Prudential Plc**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
14	Re-elect Amy Yip as Director	Mgmt	For	For
15	Appoint Ernst & Young LLP as Auditors	Mgmt	For	For
16	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For
17	Authorise UK Political Donations and Expenditure	Mgmt	For	For
18	Approve Sharesave Plan	Mgmt	For	For
19	Approve Long Term Incentive Plan	Mgmt	For	For
20	Approve International Savings-Related Share Option Scheme for Non-Employees	Mgmt	For	For
21	Approve the ISSOSNE Service Provider Sublimit	Mgmt	For	For
22	Approve Agency Long Term Incentive Plan	Mgmt	For	For
23	Approve the Agency LTIP Service Provider Sublimit	Mgmt	For	For
24	Authorise Issue of Equity	Mgmt	For	For
25	Authorise Issue of Equity to Include Repurchased Shares	Mgmt	For	For
26	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
27	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For
28	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For
29	Adopt New Articles of Association	Mgmt	For	For
30	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For

#### **PT Bank Central Asia Tbk**

**Meeting Date:** 03/16/2023 **Record Date:** 02/15/2023

**Country:** Indonesia **Meeting Type:** Annual

Ticker: BBCA

**Primary ISIN:** ID1000109507

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Annual Report, Financial Statements, Statutory Reports and Discharge of Directors and Commissioners	Mgmt	For	For
2	Approve Allocation of Income and Dividends	Mgmt	For	For

#### **PT Bank Central Asia Tbk**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Approve Remuneration and Tantiem of Directors and Commissioners	Mgmt	For	For
4	Approve Auditors	Mgmt	For	For
5	Approve Payment of Interim Dividends	Mgmt	For	For
6	Approve Revised Recovery Plan	Mgmt	For	For
7	Approve Resolution Plan	Mgmt	For	For

### PT Bank Rakyat Indonesia (Persero) Tbk

**Meeting Date:** 03/13/2023 **Record Date:** 02/16/2023

**Country:** Indonesia **Meeting Type:** Annual Ticker: BBRI

**Primary ISIN:** ID1000118201

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Annual Report, Financial Statements, Statutory Reports, Financial Statements of Micro and Small Enterprise Funding Program and Discharge of Directors and Commissioners	Mgmt	For	For
2	Approve Allocation of Income	Mgmt	For	For
3	Approve Remuneration and Tantiem of Directors and Commissioners	Mgmt	For	For
4	Approve Auditors of the Company and the Micro and Small Enterprise Funding Program's Financial Statements and Implementation Report	Mgmt	For	For
5	Approve Resolution Plan and Update of Recovery Plan of the Company	Mgmt	For	For
6	Accept Report on the Use of Proceeds	Mgmt		
7	Approve Share Repurchase Program	Mgmt	For	For
8	Approve Changes in the Boards of the Company	Mgmt	For	Against

Blended Rationale: [SF-M0000-001] Vote against due to lack of disclosure.

### **Samsung Electronics Co., Ltd.**

Meeting Date: 03/15/2023 Record Date: 12/30/2022 Country: South Korea
Meeting Type: Annual

**Ticker:** 005930

**Primary ISIN:** KR7005930003

### **Samsung Electronics Co., Ltd.**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Meeting for GDR Holders	Mgmt		
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For
2	Elect Han Jong-hui as Inside Director	Mgmt	For	For
3	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For

#### **Shenzhen Inovance Technology Co., Ltd.**

**Meeting Date:** 05/29/2023 **Record Date:** 05/22/2023

Country: China

Meeting Type: Annual

Ticker: 300124

Primary ISIN: CNE100000V46

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Annual Report and Summary	Mgmt	For	For
2	Approve Financial Statements	Mgmt	For	For
3	Approve Report of the Board of Directors	Mgmt	For	For
4	Approve Report of the Board of Supervisors	Mgmt	For	For
5	Approve Profit Distribution	Mgmt	For	For
6	Approve Financial Budget Report	Mgmt	For	For
7	Approve to Appoint Auditor	Mgmt	For	For
8	Approve Completion of Raised Funds Investment Projects and Use of Remaining Funds to Replenish Working Capital	Mgmt	For	For
9	Approve Amendments to Articles of Association	Mgmt	For	For

### **Shenzhen Mindray Bio-Medical Electronics Co., Ltd.**

**Meeting Date:** 05/18/2023 **Record Date:** 05/10/2023

Country: China

Meeting Type: Annual

**Ticker:** 300760

Primary ISIN: CNE100003G67

# **Shenzhen Mindray Bio-Medical Electronics Co., Ltd.**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Report of the Board of Directors	Mgmt	For	For
2	Approve Report of the Board of Supervisors	Mgmt	For	For
3	Approve Financial Statements	Mgmt	For	For
4	Approve Profit Distribution	Mgmt	For	For
5	Approve Annual Report and Summary	Mgmt	For	For
6	Approve Sustainability Report	Mgmt	For	For
7	Approve Appointment of Auditor	Mgmt	For	For
8	Amend Articles of Association	Mgmt	For	For
9	Amend Remuneration Management System for Directors, Supervisors and Senior Management Members	Mgmt	For	For
	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
10.1	Elect Li Xiting as Director	Mgmt	For	For
10.2	Elect Xu Hang as Director	Mgmt	For	For
10.3	Elect Cheng Minghe as Director	Mgmt	For	For
10.4	Elect Wu Hao as Director	Mgmt	For	For
10.5	Elect Guo Yanmei as Director	Mgmt	For	For
	ELECT INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
11.1	Elect Liang Huming as Director	Mgmt	For	For
11.2	Elect Zhou Xianyi as Director	Mgmt	For	For
11.3	Elect Hu Shanrong as Director	Mgmt	For	For
11.4	Elect Gao Shengping as Director	Mgmt	For	For
11.5	Elect Xu Jing as Director	Mgmt	For	For
	ELECT SUPERVISORS VIA CUMULATIVE VOTING	Mgmt		
12.1	Elect Tang Zhi as Supervisor	Mgmt	For	For
12.2	Elect Ji Qiang as Supervisor	Mgmt	For	For

## **Shenzhen Mindray Bio-Medical Electronics Co., Ltd.**

Meeting Date: 07/18/2023 Record Date: 07/11/2023 **Country:** China **Meeting Type:** Special **Ticker:** 300760

Primary ISIN: CNE100003G67

### **Shenzhen Mindray Bio-Medical Electronics Co., Ltd.**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Kong Yu as Independent Director	Mgmt	For	For
2	Approve Completion of Raised Funds Investment Project and Use of Excess Raised Funds to Replenish Working Capital	Mgmt	For	For

#### Taiwan Semiconductor Manufacturing Co., Ltd.

**Meeting Date:** 06/06/2023 **Record Date:** 04/06/2023

**Country:** Taiwan **Meeting Type:** Annual

Ticker: 2330

**Primary ISIN:** TW0002330008

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction		
	Meeting for ADR Holders	Mgmt				
1	Approve Business Operations Report and Financial Statements	Mgmt	For	For		
2	Approve Issuance of Restricted Stocks	Mgmt	For	For		
3	Amend Procedures for Endorsement and Guarantees	Mgmt	For	For		
	Blended Rationale: [HK-M0460-001] Such transactions could expose company to unnecessary risks.					
4	Amend the Name of Audit Committee in the Policies (I)Procedures for Acquisition or Disposal of Assets (II)Procedures for Financial Derivatives Transactions (III)Procedures for Lending Funds to Other Parties (IV)Procedures for Endorsement and Guarantee	Mgmt	For	For		

### **TCS Group Holding Plc**

**Meeting Date:** 11/21/2023 **Record Date:** 10/12/2023

**Country:** Cyprus **Meeting Type:** Annual

Ticker: TCS

Primary ISIN: US87238U2033

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Meeting for GDR Holders	Mgmt		
1	Elect Chairperson of the Meeting	Mgmt	For	Do Not Vote

# **TCS Group Holding Plc**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve Kiteserve Limited, Cyprus as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	Do Not Vote
3	Reelect Daniel Wolfe as Director	Mgmt	For	Do Not Vote
	Blended Rationale: [LN-M0550-002] Remui	neration committee disc	cretion has been used poorly.	
4	Reelect Alexey Malinovsky as Director	Mgmt	For	Do Not Vote
5	Reelect Tatiana Kouznetsova as Director	Mgmt	For	Do Not Vote
6	Reelect Dmitry Trembovolsky as Director	Mgmt	For	Do Not Vote
7	Approve Director Remuneration	Mgmt	For	Do Not Vote
	Blended Rationale: [LN-M0550-003] Poor u	se of remuneration con	mmittee discretion regarding increase.	s.
8	Authorize Share Repurchase Program	Mgmt	For	Do Not Vote
9	Amend Articles of Association Re: Board-Related	Mgmt	For	Do Not Vote
	Blended Rationale: [SF-M0100-001] On bal under the new Charter/Articles/Bylaws.	lance, we find that shal	reholders' rights are likely to be dimin	ished in material ways

## **Tencent Holdings Limited**

**Meeting Date:** 05/17/2023 **Record Date:** 05/11/2023

**Country:** Cayman Islands **Meeting Type:** Annual

Ticker: 700

Primary ISIN: KYG875721634

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction		
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For		
2	Approve Final Dividend	Mgmt	For	For		
3a	Elect Jacobus Petrus (Koos) Bekker as Director	Mgmt	For	Against		
	Blended Rationale: [HK-M0201-008] Audit Committee without majority independence.[HK-M0201-013] Remuneration Committee without majority independence[SF-M0201-025] The nomination committee is not majority independent.					
3b	Elect Zhang Xiulan as Director	Mgmt	For	For		
3c	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For		
4	Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For		

# **Tencent Holdings Limited**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
	Blended Rationale: [RU-M0300-003] This authorized excessive discretion	ority is not in shareholders	best economic interests as it would give the board	
6	Authorize Repurchase of Issued Share Capital	Mgmt	For	For

### **Tencent Holdings Limited**

**Meeting Date:** 05/17/2023 **Record Date:** 05/11/2023

**Country:** Cayman Islands **Meeting Type:** Extraordinary

Shareholders

Ticker: 700

Primary ISIN: KYG875721634

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Adopt 2023 Share Option Scheme	Mgmt	For	Against
	Blended Rationale: [LN-M0500-001] Incention	ve arrangements do no	t support the long-term economic	interests of shareholders.
1b	Approve Transfer of Share Options	Mgmt	For	Against
	Blended Rationale: [LN-M0500-001] Incention	ve arrangements do no	t support the long-term economic	interests of shareholders.
1c	Approve Termination of the Existing Share Option Scheme	Mgmt	For	Against
	Blended Rationale: [LN-M0500-001] Incention	ve arrangements do no	t support the long-term economic	interests of shareholders.
2	Approve Scheme Mandate Limit (Share Option) under the 2023 Share Option Scheme	Mgmt	For	Against
	Blended Rationale: [LN-M0500-001] Incention	ve arrangements do no	t support the long-term economic	interests of shareholders.
3	Approve Service Provider Sublimit (Share Option) under the 2023 Share Option Scheme	Mgmt	For	Against
	Blended Rationale: [LN-M0500-001] Incention	ve arrangements do no	t support the long-term economic	interests of shareholders.
4a	Adopt 2023 Share Award Scheme	Mgmt	For	Against
	Blended Rationale: [LN-M0500-001] Incention	ve arrangements do no	t support the long-term economic	interests of shareholders.
4b	Approve Transfer of Share Awards	Mgmt	For	Against
	Blended Rationale: [LN-M0500-001] Incention	ve arrangements do no	t support the long-term economic	interests of shareholders.
4c	Approve Termination of the Existing Share Award Schemes	Mgmt	For	Against
	Blended Rationale: [LN-M0500-001] Incention	ve arrangements do no	t support the long-term economic	interests of shareholders.
5	Approve Scheme Mandate Limit (Share Award) under the 2023 Share Award Scheme	Mgmt	For	Against

# **Tencent Holdings Limited**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction		
6	Approve Scheme Mandate Limit (New Shares Share Award) under the 2023 Share Award Scheme	Mgmt	For	Against		
	Blended Rationale: [LN-M0500-001] Incentive arrangements do not support the long-term economic interests of shareholders.					
7	Approve Service Provider Sublimit (New Shares Share Award) under the 2023 Share Award Scheme	Mgmt	For	Against		
	Blended Rationale: [I N-M0500-001] Incenti	ive arrangements do no	t support the long-term econ	omic interests of shareholders.		

#### Wal-Mart de Mexico SAB de CV

Meeting Date: 03/30/2023 Record Date: 03/22/2023 Country: Mexico
Meeting Type: Annual

Ticker: WALMEX

Primary ISIN: MX01WA000038

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Approve Report of Audit and Corporate Practices Committees	Mgmt	For	Abstain
	Blended Rationale: [LN-M0105-001] Abstain irregularities be discovered at a later date.	ning from this item pres	erves shareholders' right to take	legal action should
1b	Approve CEO's Report	Mgmt	For	Abstain
	Blended Rationale: [LN-M0105-001] Abstain irregularities be discovered at a later date.	ning from this item pres	rerves shareholders' right to take	legal action should
1c	Approve Board Opinion on CEO's Report	Mgmt	For	Abstain
	Blended Rationale: [LN-M0105-001] Abstain irregularities be discovered at a later date.	ning from this item pres	erves shareholders' right to take	legal action should
1d	Approve Board of Directors' Report	Mgmt	For	Abstain
	Blended Rationale: [LN-M0105-001] Abstain irregularities be discovered at a later date.	ning from this item pres	rerves shareholders' right to take	legal action should
1e	Approve Report Re: Employee Stock Purchase Plan	Mgmt	For	For
2	Approve Consolidated Financial Statements	Mgmt	For	Abstain
	Blended Rationale: [LN-M0105-001] Abstain irregularities be discovered at a later date.	ning from this item pres	erves shareholders' right to take	legal action should
3a	Approve Allocation of Income	Mgmt	For	For
3b	Approve Ordinary Dividend of MXN 1.12 Per Share	Mgmt	For	For
3c	Approve Extraordinary Dividend of MXN 1.57 Per Share	Mgmt	For	For
4	Approve Report on Share Repurchase Reserves	Mgmt	For	For
5a1	Accept Resignation of Blanca Trevino as Director	Mgmt	For	For

#### Wal-Mart de Mexico SAB de CV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5b1	Ratify Maria Teresa Arnal as Director	Mgmt	For	For
5b2	Ratify Adolfo Cerezo as Director	Mgmt	For	For
5b3	Ratify Ernesto Cervera as Director	Mgmt	For	For
5b4	Ratify Kirsten Evans as Director	Mgmt	For	For
5b5	Ratify Eric Perez Grovas as Director	Mgmt	For	For
5b6	Ratify Leigh Hopkins as Director	Mgmt	For	For
5b7	Ratify Elizabeth Kwo as Director	Mgmt	For	For
5b8	Ratify Guilherme Loureiro as Director	Mgmt	For	For
5b9	Ratify Judith McKenna as Board Chairman	Mgmt	For	For
5b10	Ratify Karthik Raghupathy as Director	Mgmt	For	For
5b11	Ratify Tom Ward as Director	Mgmt	For	For
5c1	Ratify Adolfo Cerezo as Chairman of Audit and Corporate Practices Committees	Mgmt	For	For
5c2	Approve Discharge of Board of Directors and Officers	Mgmt	For	For
5c3	Approve Directors and Officers Liability	Mgmt	For	For
5d1	Approve Remuneration of Board Chairman	Mgmt	For	For
5d2	Approve Remuneration of Director	Mgmt	For	For
5d3	Approve Remuneration of Chairman of Audit and Corporate Practices Committees	Mgmt	For	For
5d4	Approve Remuneration of Member of Audit and Corporate Practices Committees	Mgmt	For	For
6	Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	For	For

## **Xinyi Solar Holdings Limited**

**Meeting Date:** 06/02/2023 **Record Date:** 05/29/2023

**Country:** Cayman Islands **Meeting Type:** Annual

Ticker: 968

Primary ISIN: KYG9829N1025

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For

# **Xinyi Solar Holdings Limited**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction		
3A1	Elect Lee Yin Yee as Director	Mgmt	For	Against		
	Blended Rationale: [SF-M0201-127] Chair of the Nomination Committee is not independent.					
3A2	Elect Lee Yau Ching as Director	Mgmt	For	For		
3A3	Elect Tung Ching Sai as Director	Mgmt	For	For		
3B	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For		
4	Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For		
5A	Authorize Repurchase of Issued Share Capital	Mgmt	For	For		
5B	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against		
	Blended Rationale: [RU-M0300-003] This authority is excessive discretion.	s not in shareholders' best ecc	onomic interests as it would give the board			
5C	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against		
	Blended Rationale: [RU-M0300-003] This authority is excessive discretion.	s not in shareholders' best ecc	onomic interests as it would give the board			
6	Elect Chu Charn Fai as Director	Mgmt	For	For		

### Yum China Holdings, Inc.

**Meeting Date:** 05/25/2023 **Record Date:** 03/27/2023

Country: USA

Ticker: YUMC

Meeting Type: Annual

Primary ISIN: US98850P1093

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Fred Hu	Mgmt	For	For
1b	Elect Director Joey Wat	Mgmt	For	For
1c	Elect Director Peter A. Bassi	Mgmt	For	For
1d	Elect Director Edouard Ettedgui	Mgmt	For	For
1e	Elect Director Ruby Lu	Mgmt	For	Against
	Blended Rationale: [LN-M0201-001] Nominee structured arrangements.	serves as chair of the remu	neration committee, which is responsible for poorly	,
1f	Elect Director Zili Shao	Mgmt	For	For
1g	Elect Director William Wang	Mgmt	For	For
1h	Elect Director Min (Jenny) Zhang	Mgmt	For	For

## Yum China Holdings, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1i	Elect Director Christina Xiaojing Zhu	Mgmt	For	For
	Blended Rationale: .			
2	Ratify KPMG Huazhen LLP and KPMG as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against
	Blended Rationale: [LN-M0550-002] Remune	eration committee discretion	n has been used poorly.	
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
5	Approve Issuance of Shares for a Private Placement	Mgmt	For	For
6	Authorize Share Repurchase Program	Mgmt	For	For

#### **Zhejiang Sanhua Intelligent Controls Co., Ltd.**

**Meeting Date:** 10/11/2023 **Record Date:** 09/28/2023

Country: China

Ticker: 002050

Meeting Type: Special

Primary ISIN: CNE000001M22

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve to Adjust the Resolution Validity Period of the Shareholders' Meeting	Mgmt	For	For
2	Approve the Company's Overseas Issuance of GDR and Domestic Fundamental Share Issuance Plan (Revised Draft)	Mgmt	For	For
3	Approve to Adjust the Authorization of the Board to Handle All Related Matters	Mgmt	For	For
4	Approve Amendments to Articles of Association to Change Business Scope	Mgmt	For	For

### **Zhejiang Weixing New Building Materials Co., Ltd.**

**Meeting Date:** 01/06/2023 **Record Date:** 12/30/2022

Country: China
Meeting Type: Special

**Ticker:** 002372

Primary ISIN: CNE100000MK9

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Decrease in Registered Capital and Amendment of Articles of Association	Mgmt	For	For

# **Zhejiang Weixing New Building Materials Co., Ltd.**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
2.1	Elect Jin Hongyang as Director	Mgmt	For	For
2.2	Elect Zhang Kapeng as Director	Mgmt	For	For
2.3	Elect Zhang Sanyun as Director	Mgmt	For	For
2.4	Elect Feng Jifu as Director	Mgmt	For	For
2.5	Elect Shi Guojun as Director	Mgmt	For	For
2.6	Elect Tan Mei as Director	Mgmt	For	For
	ELECT INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
3.1	Elect Song Yihu as Director	Mgmt	For	For
3.2	Elect Zheng Lijun as Director	Mgmt	For	For
3.3	Elect Zhu Xiehe as Director	Mgmt	For	For
	ELECT SUPERVISORS VIA CUMULATIVE VOTING	Mgmt		
4.1	Elect Chen Guogui as Supervisor	Mgmt	For	For
4.2	Elect Fang Saijian as Supervisor	Mgmt	For	For

#### **Zhejiang Weixing New Building Materials Co., Ltd.**

**Meeting Date:** 05/15/2023 **Record Date:** 05/09/2023

Country: China
Meeting Type: Annual

**Ticker:** 002372

Primary ISIN: CNE100000MK9

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Financial Statements	Mgmt	For	For
2	Approve Profit Distribution	Mgmt	For	For
3	Approve Report of the Board of Directors	Mgmt	For	For
4	Approve Report of the Board of Supervisors	Mgmt	For	For
5	Approve Annual Report and Summary	Mgmt	For	For
6	Approve Appointment of Auditor	Mgmt	For	For
7	Approve Amendments to Articles of Association	Mgmt	For	For

# **Zhejiang Weixing New Building Materials Co., Ltd.**

**Meeting Date:** 12/29/2023 **Record Date:** 12/22/2023

**Country:** China **Meeting Type:** Special **Ticker:** 002372

Primary ISIN: CNE100000MK9

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Amendments to Articles of Association	Mgmt	For	For
2	Amend Working System for Independent Directors	Mgmt	For	For

Blended Rationale: [SF-M0000-001] Vote against due to lack of disclosure.